

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Martin Schuatt  
Title: Electromechanical Brake With Zero Backlash Actuation

November 20, 2003

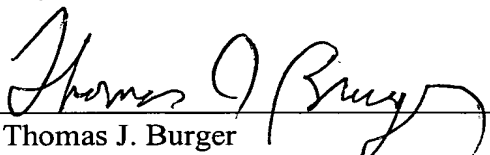
Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. § § 1.56, 1.97 and 1.98, relative to the above-identified patent application, applicant calls to the attention of the United States Patent and Trademark office the references listed on the attached Form PTO-1449. Copies of the cited references are enclosed herewith.

Applicant respectfully submits that the cited references, either individually or in combination, neither disclose nor render obvious the subject matter claimed in the present application. Nevertheless, applicant submits this material so that the examiner may make an independent determination of its materiality, if any.

Respectfully submitted,  
WOOD, HERRON & EVANS, L.L.P.

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|  |     |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
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| SUBSTITUTE FORM PTO-1449<br>(MODIFIED)   |     | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE   |   | ATTY. DOCKET NO.<br>WUE/28       |   | SERIAL NO. |   |                     |                             |              |          |                               |  |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br><br>(Use several sheets if necessary)  |     |  |   | APPLICANT<br>Martin Schautt      |   |            |   |                     |                             |              |          |                               |  |
| (37 CFR 1.98(b))   |     |  |   | FILING DATE<br>November 20, 2003 |   | GROUP      |   |                     |                             |              |          |                               |  |
|  |     |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
| U.S. PATENT DOCUMENTS  |     |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
| EXAMINER<br>INITIAL  |     | PATENT NUMBER  |   |                                  |   |            |   | ISSUE<br>DATE       | PATENTEE                    | CLASS        | SUBCLASS | FILING DATE<br>IF APPROPRIATE |  |
|  | A.A | 3  | 8 | 6                                | 9 | 0          | 2 | 4                   | March 1975                  | Hauth et al. |          |                               |  |
|  | A.B |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.C |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.D |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.E |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.F |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.G |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.H |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.I |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.J |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.K |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS   |     |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  |     | DOCUMENT<br>NUMBER   |   |                                  |   |            |   | PUBLICATION<br>DATE | COUNTRY OR<br>PATENT OFFICE | CLASS        | SUBCLASS | TRANSLATION<br>(YES/NO)       |  |
|  | A.L | 1078886  |   |                                  |   |            |   | 03/1960             | DE                          |              |          |                               |  |
|  | A.M | 19819564   |   |                                  |   |            |   | 12/1999             | DE                          |              |          |                               |  |
|  | A.N | 19851668   |   |                                  |   |            |   | 05/2000             | DE                          |              |          |                               |  |
|  | A.O | 19957939   |   |                                  |   |            |   | 05/2001             | DE                          |              |          |                               |  |
|  | A.P | 98/14715   |   |                                  |   |            |   | 04/1998             | PCT/WIPO                    |              |          |                               |  |
|  | A.Q |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.R | International Preliminary Examination Report, Form PCT/IPEA/409 for PCT/EP02/O5431, January 21, 2003 |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.S | International Search Report, Form PCT/ISA/210 for PCT/EP02/O5431,                                    |   |                                  |   |            |   |                     |                             |              |          |                               |  |
|  | A.T |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |
| EXAMINER   |     |  |   |                                  |   |            |   |                     | DATE CONSIDERED             |              |          |                               |  |
| EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered.<br>Include a copy of this form with next communication to applicant. |     |  |   |                                  |   |            |   |                     |                             |              |          |                               |  |